Division of Quality Assurance
Nursing Home Updates

Otis L. Woods, MBA,
Administrator
Division of Quality Assurance
LeadingAge Wisconsin
Health Issues Forum
December 3, 2014
Division of Quality Assurance

AGENDA

• CMS Updates
• DQA Updates
• Survey Statistics
CMS Update

• Partnership to Improve Dementia Care in Nursing Homes
• Antipsychotic use
• Revisions to Appendix PP
• Quality Improvement Organization
CMS Update

• Improvements in dementia care continue
• New goals for antipsychotic use coming
• Focus care on person directed
CMS Update

• Antipsychotic Use
• WI use is 14.5%
• WI 4th best in nation
  o DHS Press Release issued
• New Goals
CMS Update
CMS Update

F 329 Unnecessary Drugs

• Each residents’ medication regimen must be free of unnecessary drugs
• Residents who have not used antipsychotic drugs are not given these unless needed to treat a specific condition and,  
• Residents who use antipsychotics receive GDRs and behavioral interventions unless clinically contraindicated
CMS Update

F 329 Unnecessary Drugs

• Surveyors investigate medication management principals and pharmaceutical care procedures are in place and implemented

• Evaluate communication amongst providers

• Surveyors are not practicing medicine
CMS Update

• Quality Improvement Organization
• Reorganizing by blending WiQC and AE
• Underutilized resource
DQA Updates

• Antimicrobial Stewardship
• Plastic Tubing for Oxygen Tanks
• CPR/DNR Issues
• M&M, Falls Initiatives
DQA Update

- Antimicrobial Stewardship
- Overuse of Antibiotics
- Whose Resident is it?
- Stop
DQA Update

• Plastic Tubing for Oxygen tanks
• DHS 132.71(7)(d) and (e)
• DHS will seek revision
• DHS 132.21 (google)

No longer needed
waiver possible
DQA Update

• CPR/DNR Issues
• Policies and Forms
• Resident wishes
DQA Updates

• Music and Memory
  o Another 150 facilities on board
  o Certification underway

• Falls Initiative
  o 6 homes in initial pilot program
  o DHS considering next steps
  o Final report available
DQA Updates

- National: 23
- Regional: 22.2
- WI Non M&M: 17.51
- M&M: 20.73

Legend:
- 2011 Qtr 4
- 2012 Qtr 3
- 2013 Qtr 3
- 2013 Qtr 4
- 2014 Qtr 1
DQA Updates

![Graph showing data for different categories and time periods.](image-url)
DQA Updates

Falls Initiative
• 6 Mentors and 6 Protégés
• Shared best practices
• 6 Protégés reduced incidents
• Success
## Immediate Jeopardy

<table>
<thead>
<tr>
<th>Rank</th>
<th>Tag</th>
<th>Description of Regulation</th>
<th># of Citations As of 9/15/14</th>
<th># of Citations 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>F309</td>
<td>Care and services to attain/maintain highest practicable level of well-being</td>
<td>9</td>
<td>10</td>
</tr>
<tr>
<td>2</td>
<td>F323</td>
<td>Facility is free of hazardous environment/Supervision and assistive devices to prevent accidents</td>
<td>7</td>
<td>6</td>
</tr>
<tr>
<td>3</td>
<td>F314</td>
<td>Services and treatment to prevent and/or to heal pressure ulcers</td>
<td>6</td>
<td>7</td>
</tr>
<tr>
<td>4</td>
<td>F225</td>
<td>Report and investigate allegations of abuse, mistreatment, neglect and misappropriation</td>
<td>2</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>F226</td>
<td>Develop and implement policies prohibiting abuse</td>
<td>2</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>F157</td>
<td>Promptly consult with a physician following a significant condition change</td>
<td>2</td>
<td>5</td>
</tr>
<tr>
<td>7</td>
<td></td>
<td>Ten regulations tied with one citation apiece</td>
<td>1</td>
<td></td>
</tr>
</tbody>
</table>
### TOP TEN CITES

**TOP TEN FEDERAL HEALTH CITATIONS – NATION, STATE, REGIONAL OFFICE – 1/1/14 – 9/15/14**

<table>
<thead>
<tr>
<th>Nation</th>
<th>State</th>
<th>Southern (Madison)</th>
<th>Southeastern (Milwaukee)</th>
<th>Northwestern (Green Bay)</th>
<th>Northern (Rhinelander)</th>
<th>Western (Eau Claire)</th>
</tr>
</thead>
<tbody>
<tr>
<td>F322 - supervision to prevent accidents (4061)</td>
<td>F441 - infection control (159)</td>
<td>F282 - care in accordance with care plan (35)</td>
<td>F323 - supervision to prevent accidents (49)</td>
<td>F441 - infection control (43)</td>
<td>F441 - infection control (20)</td>
<td>F280 - periodically review and revise the plan of care (47)</td>
</tr>
<tr>
<td>F441 - infection control (3812)</td>
<td>F323 - supervision to prevent accidents (151)</td>
<td>F371 - store, prepare, and serve food under sanitary conditions (32)</td>
<td>F225 - investigate allegations of abuse (41)</td>
<td>F314 - prevention of pressure ulcers (39)</td>
<td>F314 - prevention of pressure ulcers (17)</td>
<td>F441 - infection control (46)</td>
</tr>
<tr>
<td>F309 - care promotes highest level of well-being (3248)</td>
<td>F314 - prevention of pressure ulcers (132)</td>
<td>F323 - supervision to prevent accidents (28)</td>
<td>F309 - care promotes highest level of well-being (40)</td>
<td>F315 - services to restore as much normal bladder function as possible (34)</td>
<td>F315 - services to restore as much normal bladder function as possible (16)</td>
<td>F323 - supervision to prevent accidents (40)</td>
</tr>
<tr>
<td>F371 - store, prepare, and serve food under sanitary conditions (3226)</td>
<td>F441 - infection control (27)</td>
<td>F314 - prevention of pressure ulcers (35)</td>
<td>F371 - store, prepare, and serve food under sanitary conditions (31)</td>
<td>F371 - store, prepare, and serve food under sanitary conditions (16)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>F329 - drug regimen is free of unnecessary drugs (2148)</td>
<td>F309 - care promotes highest level of well-being (109)</td>
<td>F329 - drug regimen is free of unnecessary drugs (26)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>F279 - develop comprehensive care plan (2027)</td>
<td>F225 - investigate allegations of abuse (106)</td>
<td>F225 - investigate allegations of abuse (22)</td>
<td>F315 - services to restore as much normal bladder function as possible (34)</td>
<td>F315 - services to restore as much normal bladder function as possible (16)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>F4141 - labeling of drugs and biological (1908)</td>
<td>F329 - drug regimen is free of unnecessary drugs (104)</td>
<td>F280 - periodically review and revise the plan of care (18)</td>
<td>F314 - prevention of pressure ulcers (28)</td>
<td>F225 - investigate allegations of abuse (20)</td>
<td>F329 - drug regimen is free of unnecessary drugs (17)</td>
<td>F329 - drug regimen is free of unnecessary drugs (11)</td>
</tr>
<tr>
<td>F514 - documentation (1842)</td>
<td>F282 - care in accordance with care plan (98)</td>
<td>F282 - care in accordance with care plan (98)</td>
<td>F155 - right to formulate advance directive and to refuse treatment (17)</td>
<td>F155 - services to restore as much normal bladder function as possible (95)</td>
<td>F329 - drug regimen is free of unnecessary drugs (19)</td>
<td>F279 - develop comprehensive care plan (8)</td>
</tr>
<tr>
<td>F241 - resident dignity (1676)</td>
<td>F315 - services to restore as much normal bladder function as possible (95)</td>
<td>F155 - right to formulate advance directive and to refuse treatment (16)</td>
<td>F315 - services to restore as much normal bladder function as possible (15)</td>
<td>F156 - inform resident of services and charges (15)</td>
<td>F332 - medication error rate is less than 5% (8)</td>
<td>F314 - prevention of pressure ulcers (27)</td>
</tr>
<tr>
<td>F282 - care in accordance with care plan (1673)</td>
<td>F314 - prevention of pressure ulcers (14)</td>
<td>F425 - medication system assures accurate receipt/administration (15)</td>
<td>F332 - medication error rate is less than 5% (15)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>F441 - medication system assures accurate receipt/administration (17)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>F425 - medication system assures accurate receipt/administration (7)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>F425 - medication system assures accurate receipt/administration (7)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>F425 - medication system assures accurate receipt/administration (7)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>F315 - services to restore as much normal bladder function as possible (22)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Other Updates

• CMS
  - QIS Survey – When is it coming?
  - Quality Assurance and Improvement Committee (QAIC) - CMP
  - “Strict” Liability – anticipated CMS memo
  - QAPI
  - Federal OIG 2015 anticipated work
  - CMP projects
Other Updates

• State
  o Legislative updates
  o Ch. 50 ideas
  o Regional Quality Forums
  o Pain management focus interest
QUESTIONS?